UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF NEW YORK

BARCLAYS CAPITAL INC.,

Plaintiff,

10-CV-3221 (NRB)

v.

TRILANTIC CAPITAL PARTNERS III L.P. and TRILANTIC CAPITAL PARTNERS IV L.P.,

Defendants.

Electronically filed

RULE 7.1 CORPORATE OWNERSHIP DISCLOSURE STATEMENT

Pursuant to Fed. R. Civ. P. 7.1, plaintiff Barclays Capital Inc., by its undersigned counsel, hereby states as follows:

- 1. Barclays Capital Inc. is an indirect wholly-owned subsidiary of Barclays plc.
- No publicly held corporation owns 10% or more of the stock of Barclays Capital Inc.

Dated: April 20, 2010

New York, New York

Respectfully submitted,

/s/

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Attorneys for Plaintiff Barclays Capital Inc.

CERTIFICATE OF SERVICE

I HEREBY CERTIFY that I caused a true and correct copy of the foregoing RULE 7.1 CORPORATE OWNERSHIP DISCLOSURE STATEMENT to be served via ECF and hand delivery on April 20, 2010 to Defendants through their counsel:

Robert C. Micheletto JONES DAY 222 East 41st Street New York, New York 10017

> _____/s/ Christopher E. Duffy